

# Transceiver Performance 10 Years of Change

**Rob Sherwood**  
**NCØB**

Great Strides + Many Problems Ignored

- **What is important in a contest or DX pile-up environment?**
- Good Dynamic Range to hear **weak** signals in the presence of **near-by strong** signals.
- **You need a better receiver for CW than for SSB.**
- Lots of choices today in the top performers.
- **Many secondary issues still not addressed.**

# What Parameter is Most Important for a CW Contester?

- Close-in Dynamic Range (DR3)
- (We have to know the noise floor to calculate Dynamic Range)

# What is Noise Floor?

Sensitivity is a familiar number, normally applies to SSB.

**Sensitivity** = 10 dB Signal + Noise / Noise (10 dB S+N/N) 

**Noise Floor** = 3 dB Signal + Noise / Noise (3 dB S+N/N) 

Noise floor can be measured at **any** filter bandwidth, CW or SSB, for example, and is bandwidth dependent.

League normally only publishes noise floor for a CW bandwidth, typically 500 Hz CW filter.

# Noise Floor – Rarely an Issue on HF

- On 20 meters and below, atmospheric, galactic and man-made noise predominates.
- On 15 meters, in a quiet rural location, the receiver is still rarely the limit.
- Lab measurements Icom 756 Pro III
- Receiver sensitivity, no preamp, 2.4 kHz = 0.35  $\mu\text{V}$
- Receiver sensitivity, w/ preamp, 2.4 kHz = 0.14  $\mu\text{V}$
- Receiver noise floor, no preamp, 500 Hz = -132 dBm
- Receiver noise floor, w/ preamp, 500 Hz = -140 dBm

# A simple test with only an analog meter

- Most hams don't own a calibrated signal generator.
- How do you evaluate your receiver?
- Measure the noise gain when you connect your antenna.
- All you need is an analog meter with a dB scale, hooked up to your speaker.
- Or do some simple math:  $20\text{Log}V_2/V_1$

# Measure the noise gain

- Disconnect your antenna and set the volume so your dB meter reads -10 dB.
- (Put a dummy load on the rig, but it will likely make no difference.)
- Connect the antenna and see how many dB the noise goes up when tuned to a dead spot on the band.
- Do this with Preamp OFF and ON
- Also rotate your yagi 360 degrees
- Noise can easily change 10 dB !

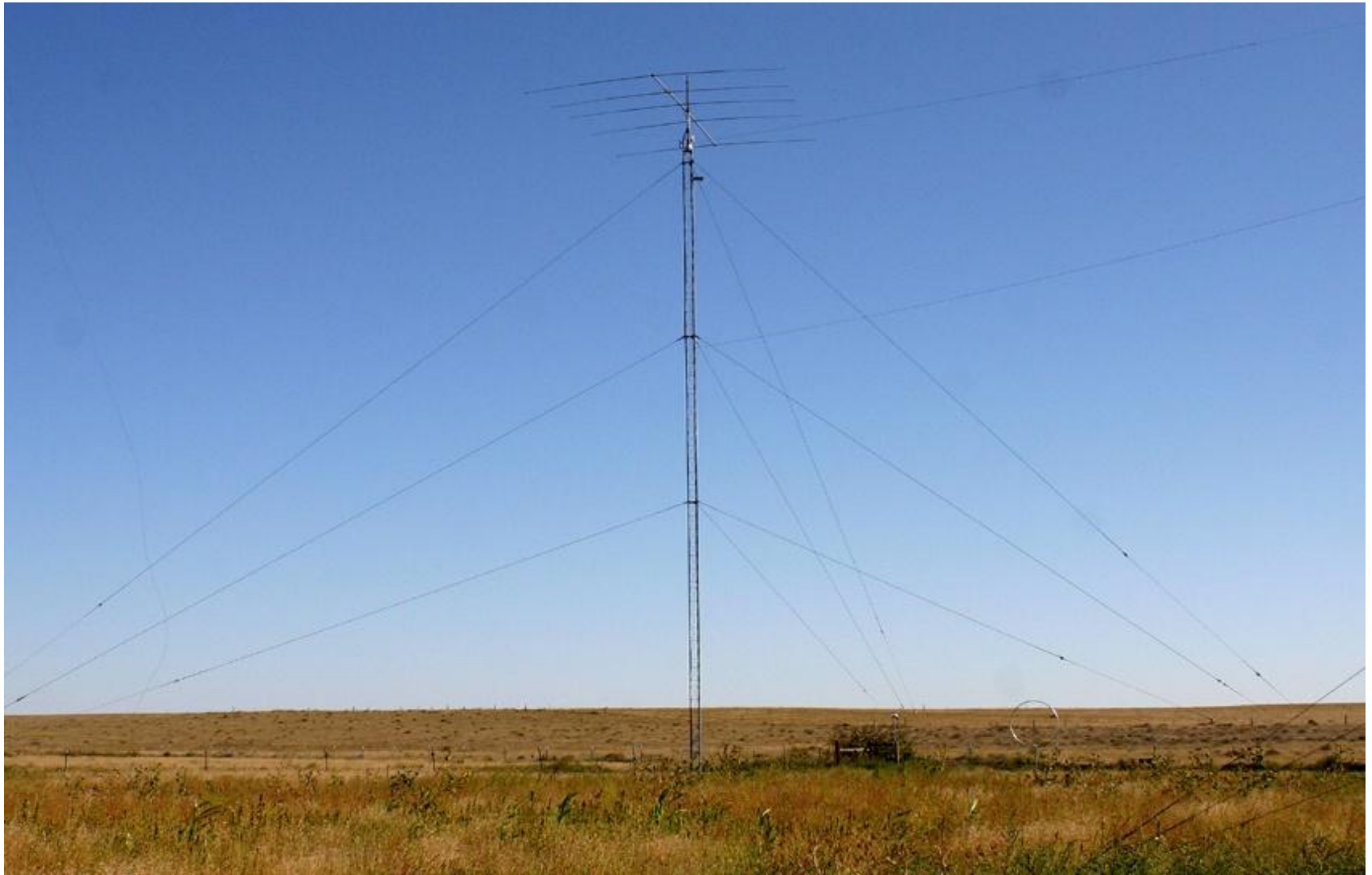
# NC0B 15 meter tower and yagi

5 element yagi at 70 feet, 270 feet of 7/8<sup>th</sup> inch hardline, antenna pointed in the quietest direction (30 degrees) at 4 PM on 2/28/2010.

Similar 5 element yagi at 65 feet on 10 meters, 500 feet of half-inch hard line.



# LJ-155CA yagi at my quiet rural QTH



# 15 & 10 meters noise gain

Rig = Icom IC-756 Pro III

10 meter antenna = Hy-gain 105CA @ 65 feet

15 meter antenna = Hy-gain 155CA @ 70 feet

|          |         |         |
|----------|---------|---------|
| Preamp   | 15 M    | 10 M    |
| None     | 4 dB    | 3 dB    |
| Preamp 1 | 11.5 dB | 9.5 dB  |
| Preamp 2 | 13.0 dB | 11.0 dB |

## More Variables – Plan ahead if you can

- At my QTH there are two towers near the house and four 200 to 350 feet away. My noise level on 20 – 10 meters is worse for the close-in towers, unless I turn off electronic devices.
- TVs (CRT or plasma), UPS (battery backup) on computers, broadband router (makes birdies), wall warts with switching power supplies for iPhone, & hand touch lamp !
- (That lamp got lost in the last move.)

# Tower Distance vs. local RFI (noise)



Numbers with Preamp-1 ON

## Noise Floor Quite Consistent Top Xcvrs

- Elecraft KX3 -138 dBm
- Yaesu FTdx-5000D -135 dBm
- Elecraft K3 -138 dBm
- Flex 5000 -135 dBm
- T-T Orion II -133 dBm
- T-T Orion I -135 dBm
- T-T Argonaut VI -135 dBm
- T-T Eagle -132 dBm
- Kenwood TS-590S -137 dBm
- Drake R-4C -138 dBm (compare)
- Collins 75A-4 -141 dBm (Too low)

# What is Dynamic Range?

The range in **dB** of very strong signals to very weak signals that the receiver can handle **At The Same Time**

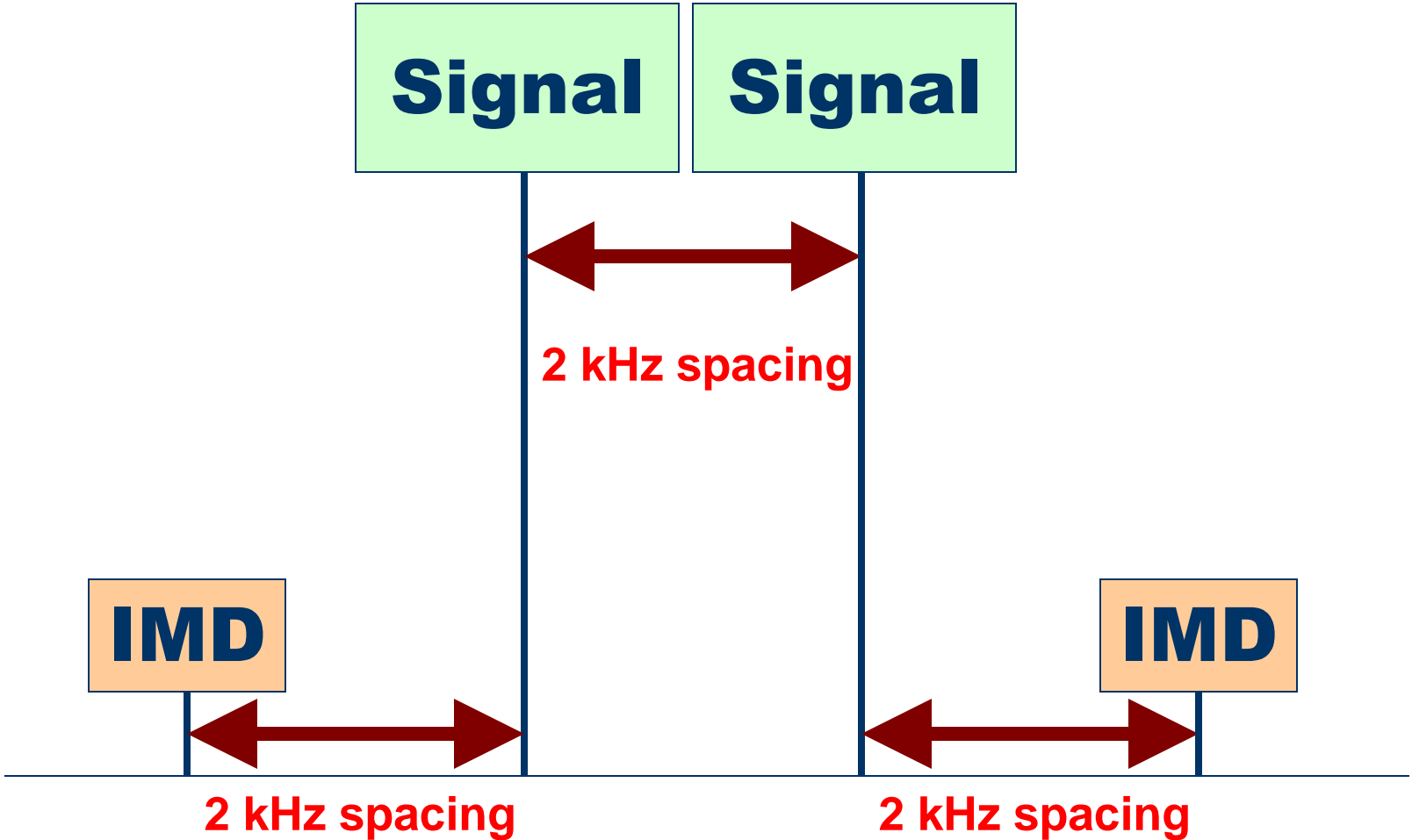
What is **Close-in** Dynamic Range vs

**Wide-Spaced** Dynamic Range?

Why is **Close-in Dynamic** so important for CW ops?

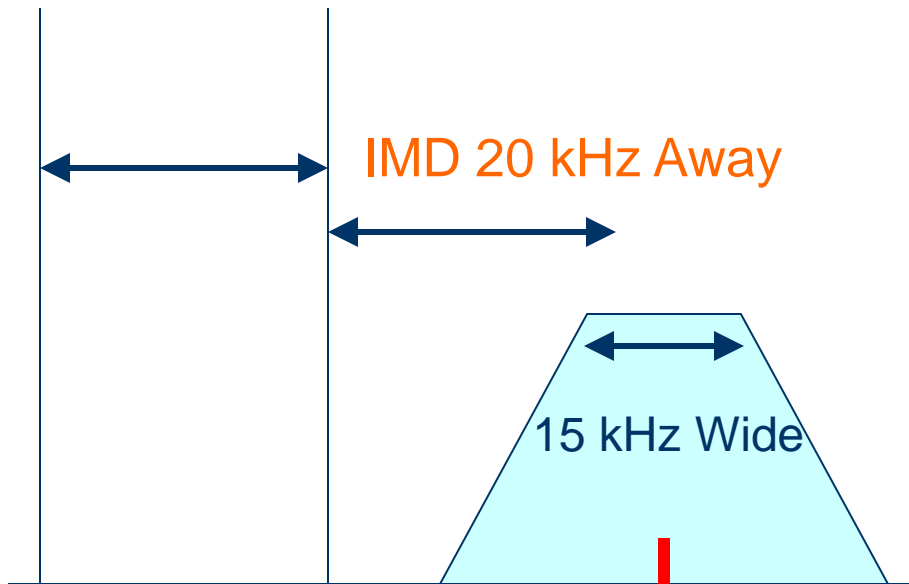
Why is it less important for SSB operators?

# Third Order IMD to Measure Dynamic Range



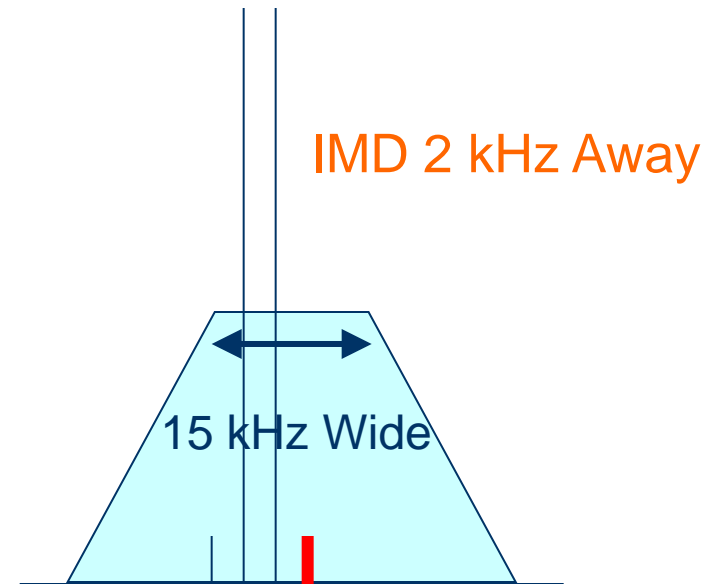
# Wide & Close Dynamic Range

## 20 kHz Spacing



First IF Filter at 70.455 MHz

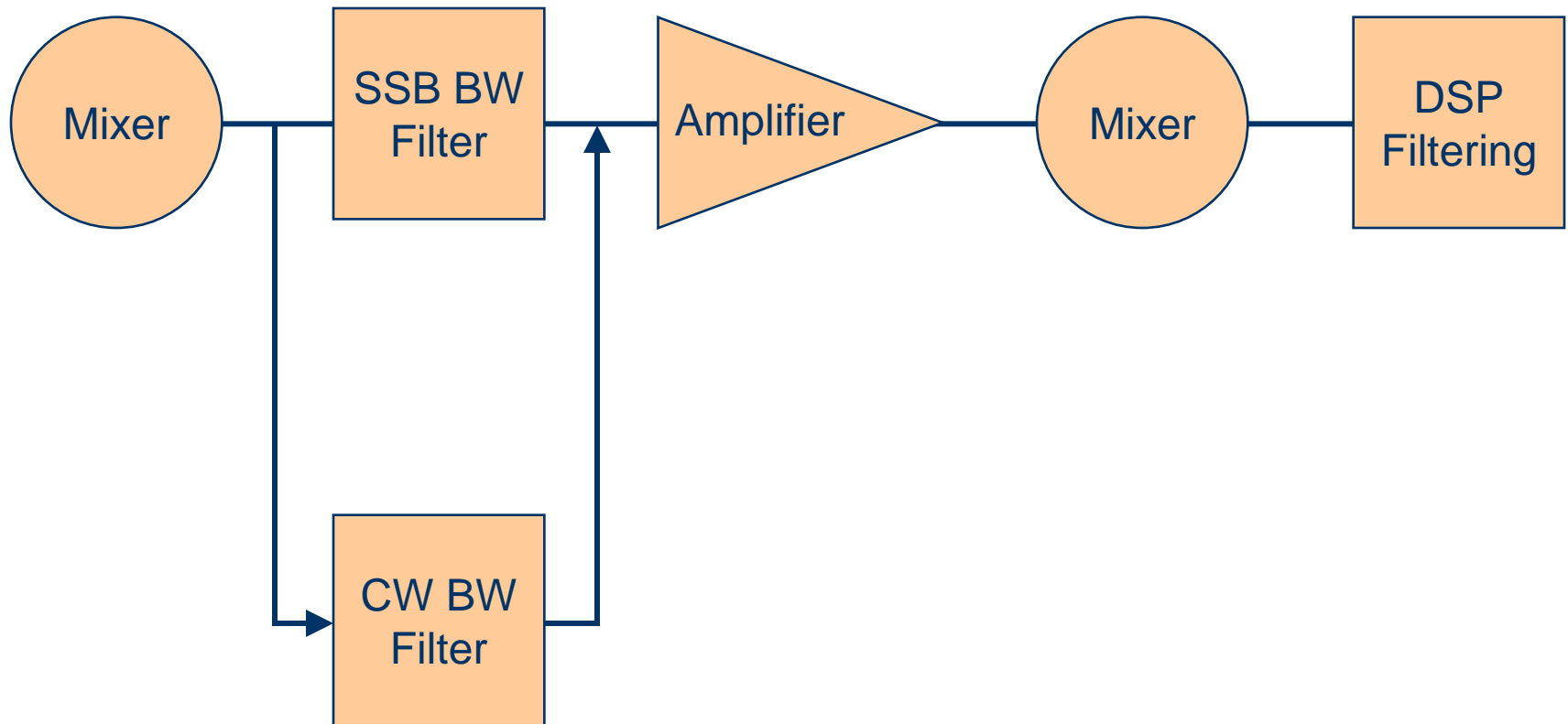
## 2 kHz Spacing



First IF Filter at 70.455 MHz



Highest performance with a bandwidth appropriate filter right up front after the first mixer.



This keeps the undesired strong signals from progressing down stream to the next stages.

# What has changed in the last 10 years?

- Ten-Tec started the change in 2003 with the Orion, going back to “down-conversion” (a first IF between 5 and 11 MHz, not VHF).
- Elecraft, Yaesu and Kenwood followed suit
- TS-590S big seller at a great price point.
- The T-T Eagle receiver can be added as the Orion sub receiver
- TS-990S shipped Spring 2013
- Many choices from \$1650 to \$8000+

# When are 2 Out of Pass Band Signals a Problem?

- If you know the close-in dynamic range of a radio, at what signal level will IMD start to be a problem?
- S Meter standard is  $S9 = 50 \mu V$ , which is  $-73 \text{ dBm}$
- Assume a typical radio:
  - ▶ 500 Hz CW filter
  - ▶ Noise Floor of  $-128 \text{ dBm}$
  - ▶ Preamp OFF

| Dynamic Range                      | Signal Level Causing IMD = Noise Floor       |
|------------------------------------|--|
| 55 dB                              | S9 FT-757 (56 dB)                            |
| 60 dB                              | S9 + 5 dB FT-2000 (61 dB)                    |
| 65 dB                              | S9 + 10 dB IC-7000 (63 dB)                   |
| 70 dB <b>Typical Up-conversion</b> | S9 + 15 dB 1000 MP / Mk V Field (68 / 69 dB) |
| 75 dB                              | S9 + 20 dB 756 Pro II / III (75 dB)          |
| 80 dB                              | S9 + 25 dB Omni-VII / IC-7800 (80 dB)        |
| 85 dB                              | S9 + 30 dB TS-590S (88 dB)                   |
| 90 dB                              | S9 + 35 dB Eagle & Argonaut (90 dB)          |
| 95 dB                              | S9 + 40 dB Orion II & Flex 5000A (95 dB)     |
| 100 dB                             | S9 + 45 dB FTdx-5000, KX3                    |

## Dynamic Range of Top 10 Transceivers

- Hilberling 105 dB
- Elecraft KX3 104 dB
- FTdx-5000D 101 dB
- Flex 5000 96 dB
- Elecraft K3 95 dB
- Orion II 95 dB
- Orion I 93 dB
- Argonaut VI 92 dB
- TT Eagle 90 dB
- TS-590S 88 dB (down conversion)

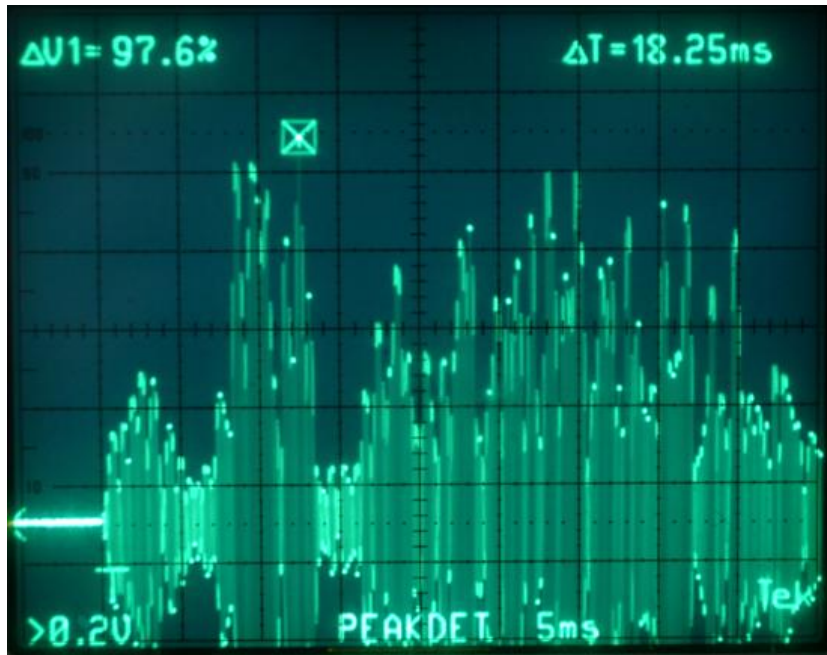
# Let's now look at the transmitters

- ALC overshoot is a common problem
- How clean is your signal?
- Ten-Tec doesn't use ALC any more !
- I am now testing transmitters with white noise feeding the microphone, in addition to a two-tone test.
- The effect of IMD products bandwidth are more obvious with noise than two tones.
- Think of it as a 1000 tone test, more approximating real voice.

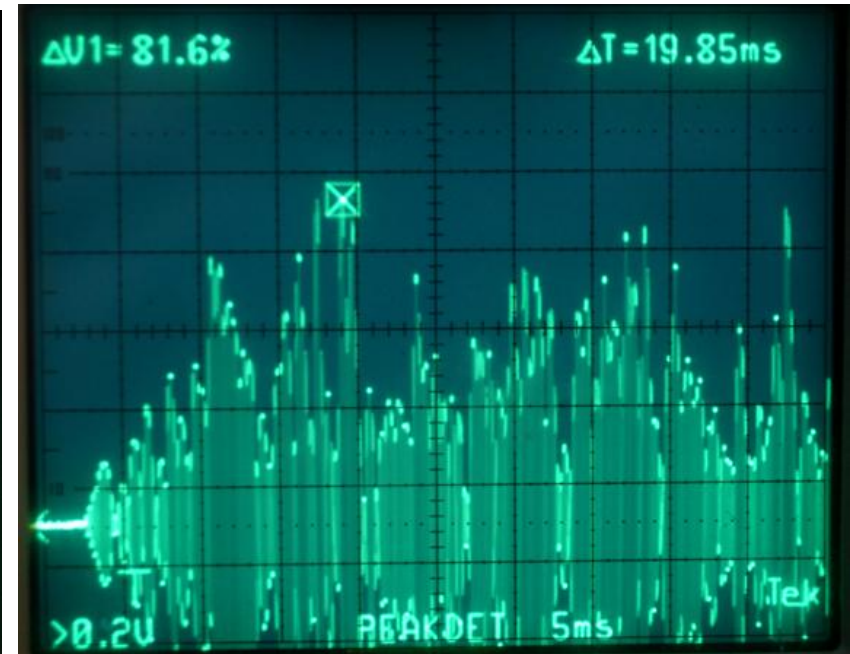
# ALC Transmit Overshoot Problems

- ALC time constants often too fast or too slow.
- Too fast = increases distortion / IMD
- Too slow = Overshoot could damage linears that only need 40 to 60 watts of drive.
- Unfortunately many rigs today exhibit ALC issues.
- ALC overshoot often worse at reduced power

# TS-590S with firmware 1.06



- Rig set to 50 watts
- 100% = 100 watts
- Peaks at 97.6% voltage
- Peak = 95 watts



- Rig set to 25 watts
- 100% = 100 watts
- Peaks at 81.6% voltage
- Peak = 67 watts

# IC-7410 data from PA3EKE



Set for 20 watt carrier



Overshoot 80+ watts  
on voice peaks

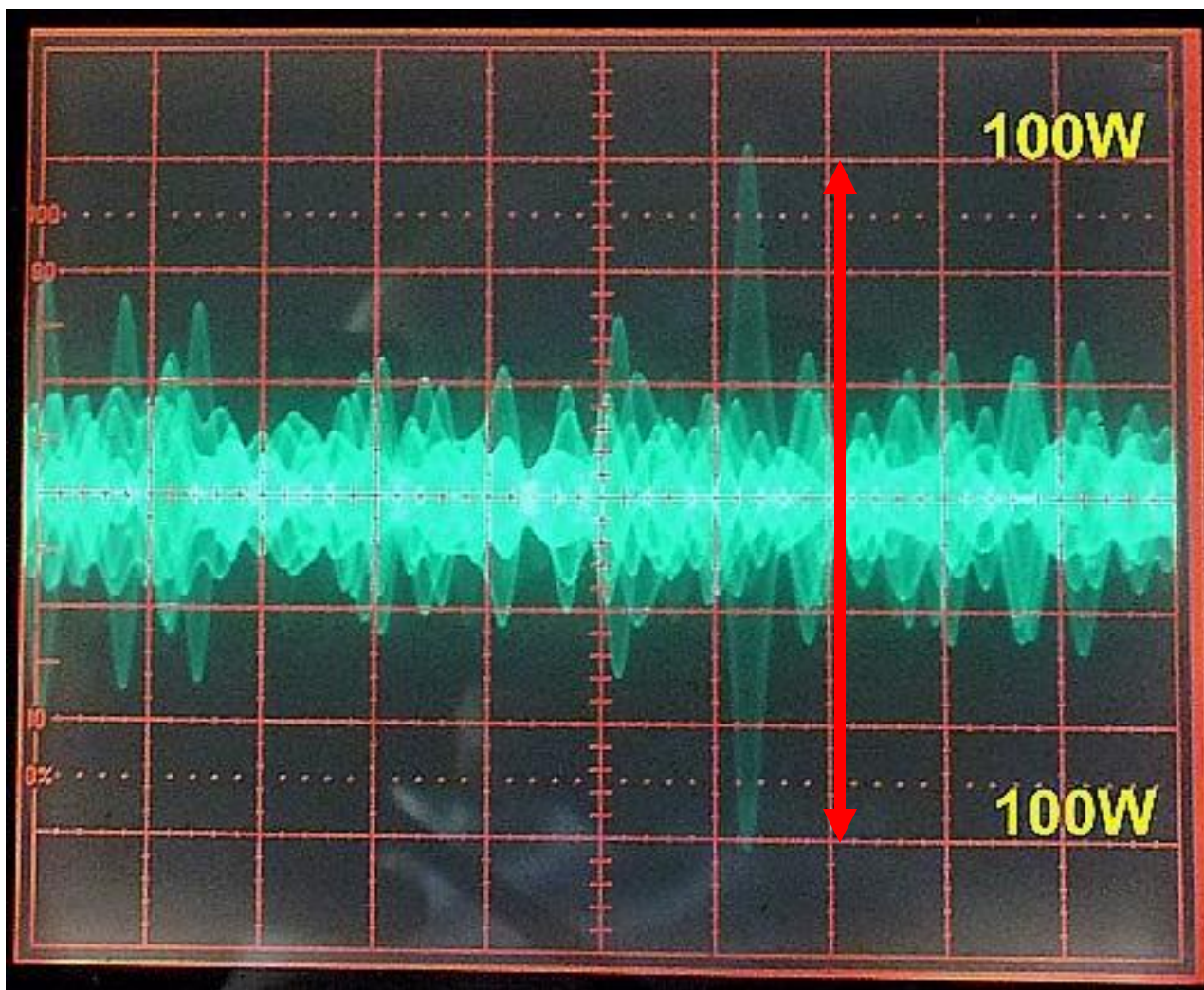


## For comparison: IC-7410

- Look at what happens to ALC spikes with the IC-7410 and IC-9100 with white noise and 50% ALC reading on the meter.

Courtesy Adam Farson – VA7OJ

## Set to 50 Watts Key Down - White Noise

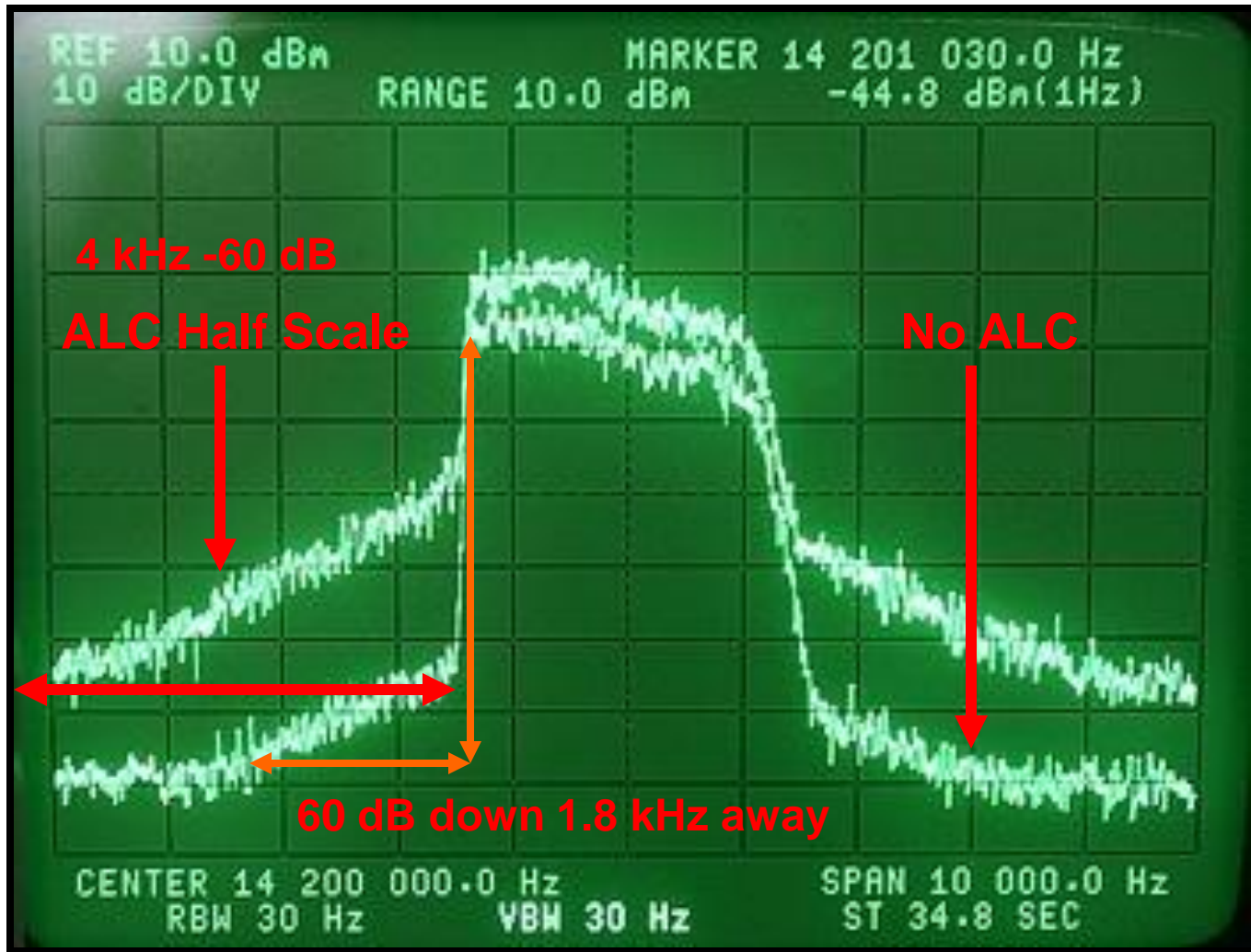


6 Div =  
100 W  
PEP.  
Rig at  
half  
power,  
but  
spikes  
to 100  
watts  
every 2  
or 3  
sec.

# Different ALC philosophy at Yaesu

- Decades ago Collins stated that an ALC circuit should have a SLOW decay time constant. ALC should just be a slow leveling circuit. Speech processing should be done way before the PA and the ALC.
- Yaesu: “If the ALC responds to a short pulse, the overall power level will be too low, and become a major concern of users.”
- Unfortunately this design negates much of the advantage of their very clean rigs that offer class A operation.

# FTdx-5000D Class A – Two Levels ALC





Noise source = GR 1381, 5-kHz -3 dB BW

# Icom IC-7410 Class AB, White Noise



# CW Signals – How wide are they?

We have seen how width of an SSB signal & its IMD products affects how close you can operate to another station.

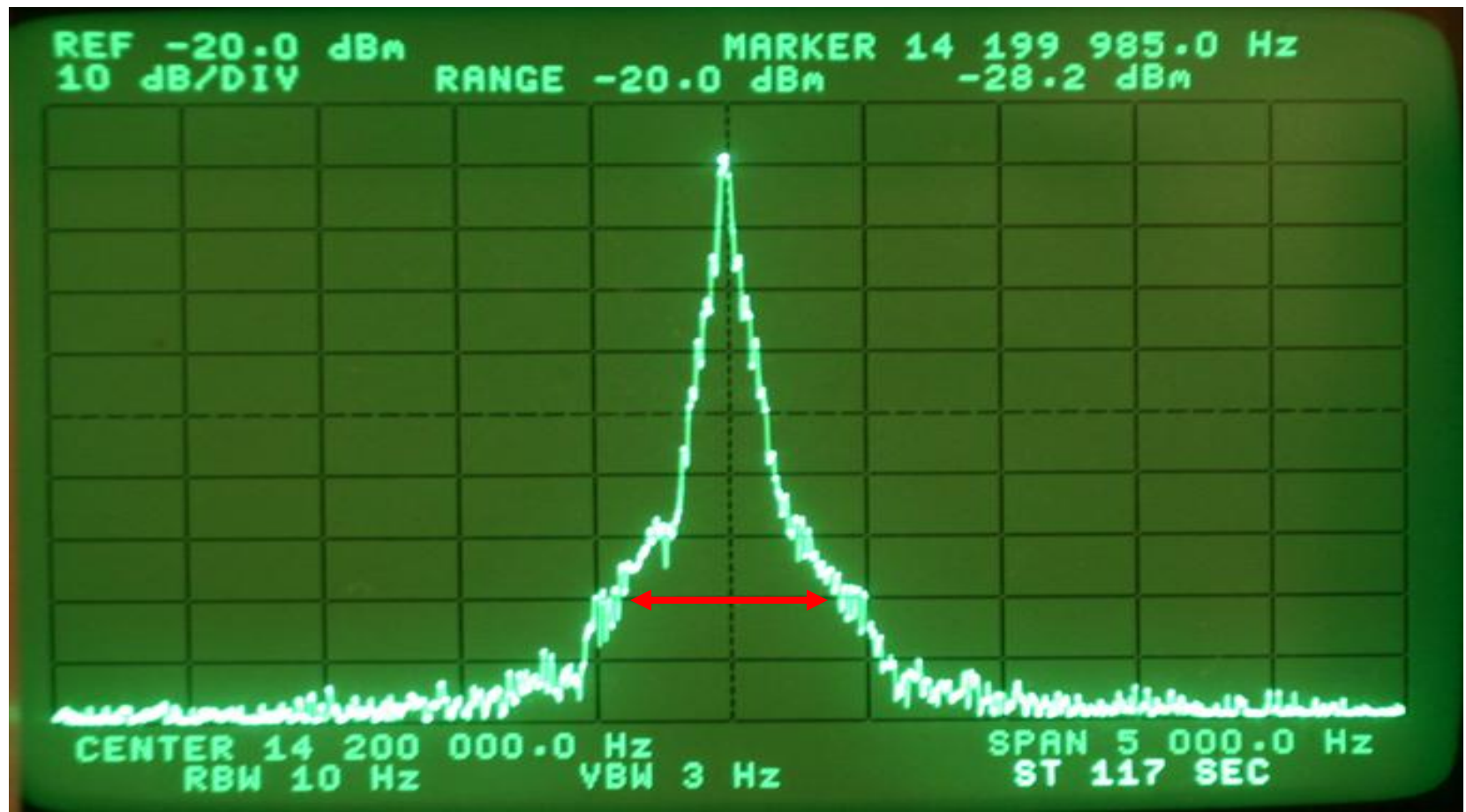
How does CW compare?

How close can we work to a strong adjacent CW signal?

Ten-Tec Omni-VII with adjustable rise time

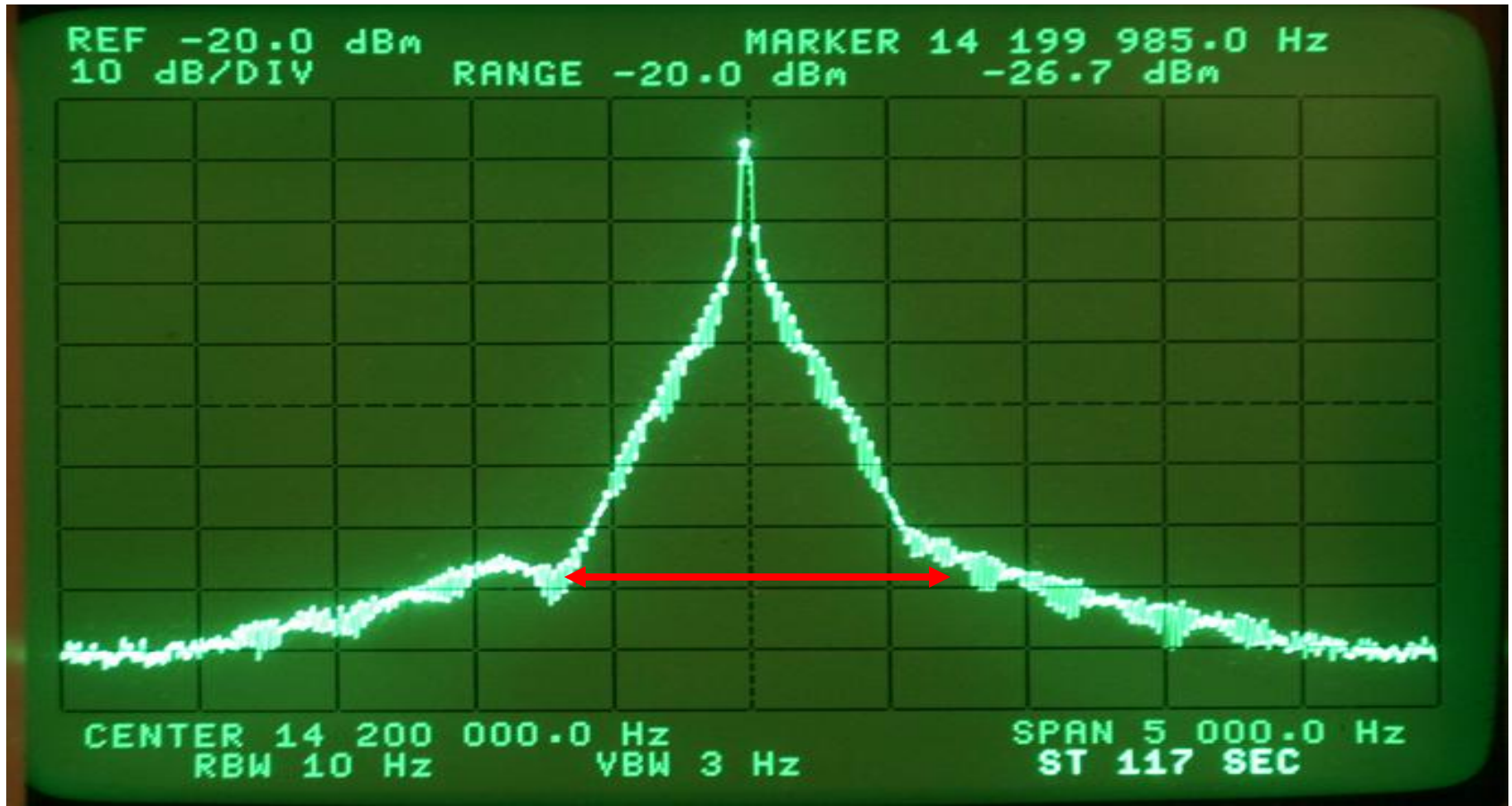
## Spectrum of CW Signal on HP 3585A Analyzer

Rise Time 10 msec, “dits” at 30 WPM,  
Bandwidth -70 dB = +/- 450 Hz = 900 Hz



## Spectrum of CW Signal on HP 3585A Analyzer

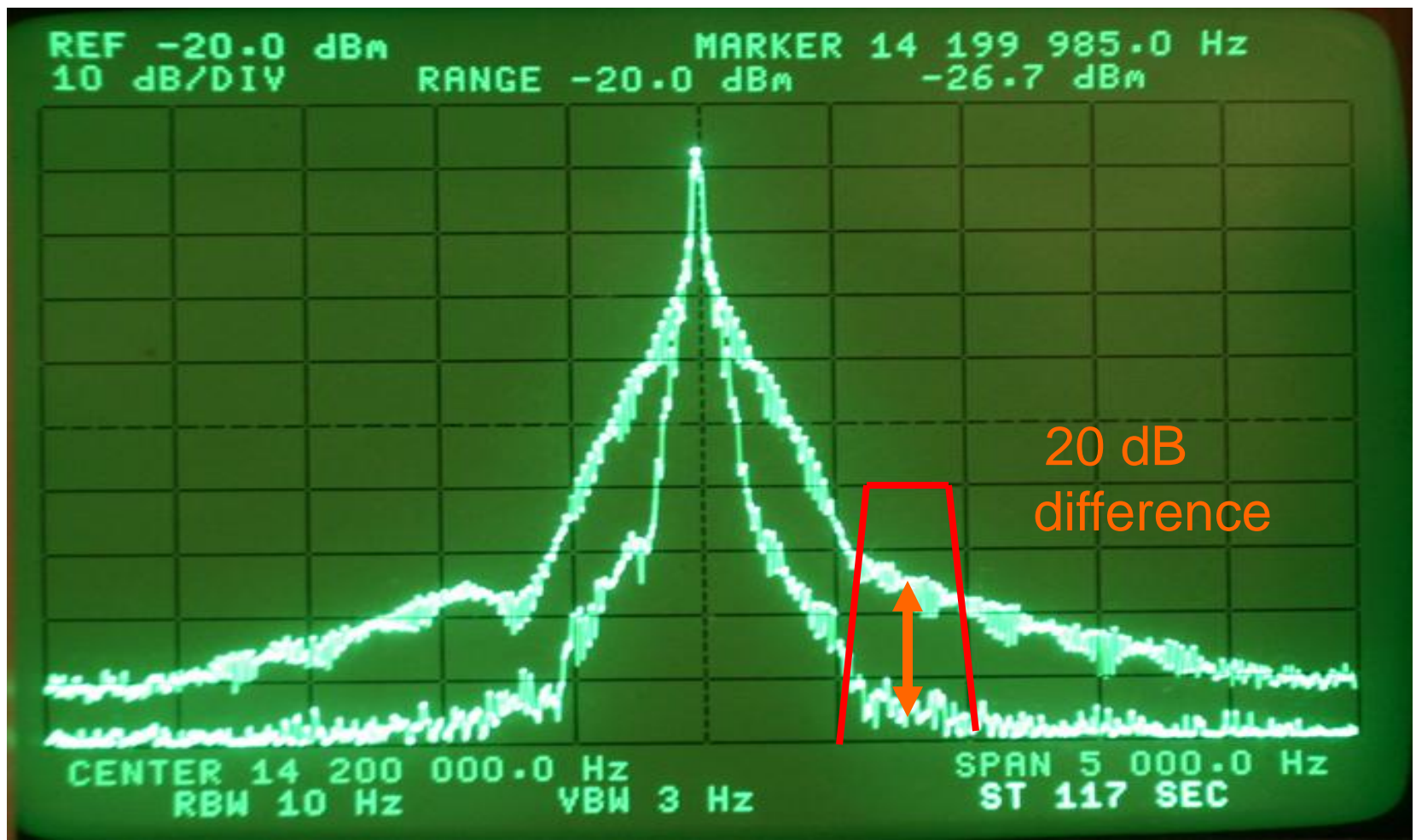
Rise Time 3 msec, “dits” at 30 WPM,  
Bandwidth -70 dB = +/- 750 Hz = 1500 Hz



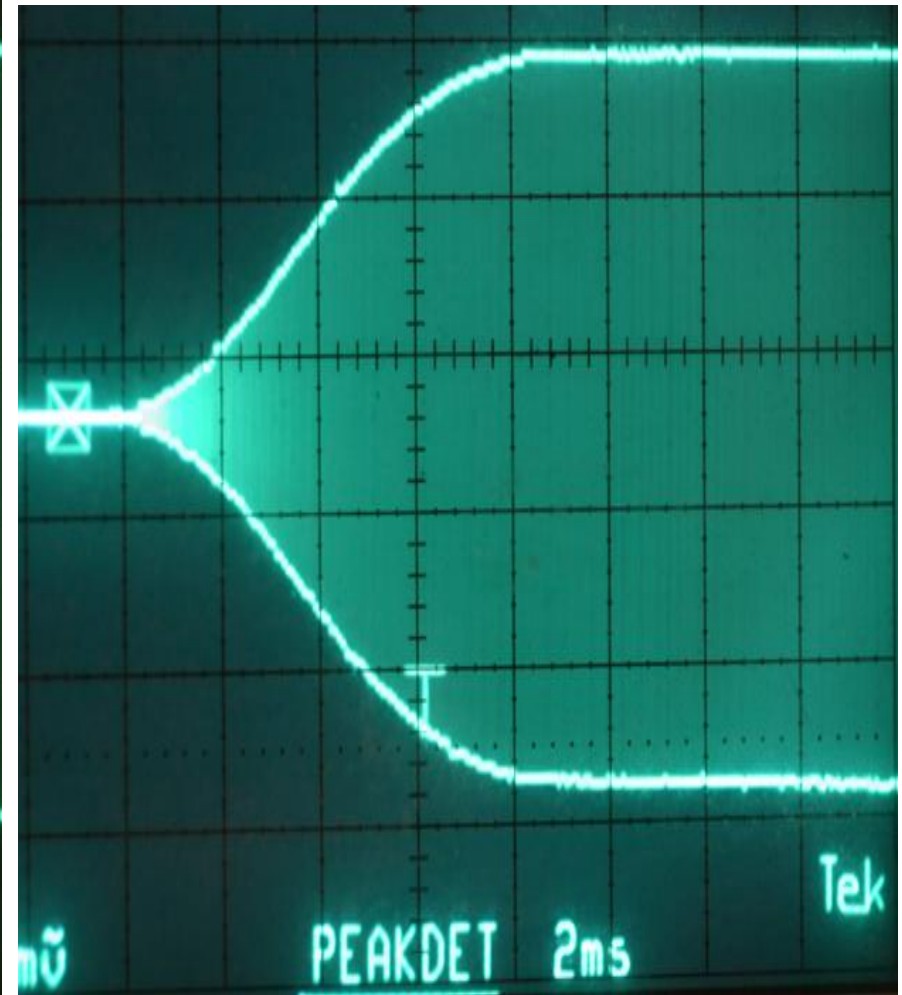
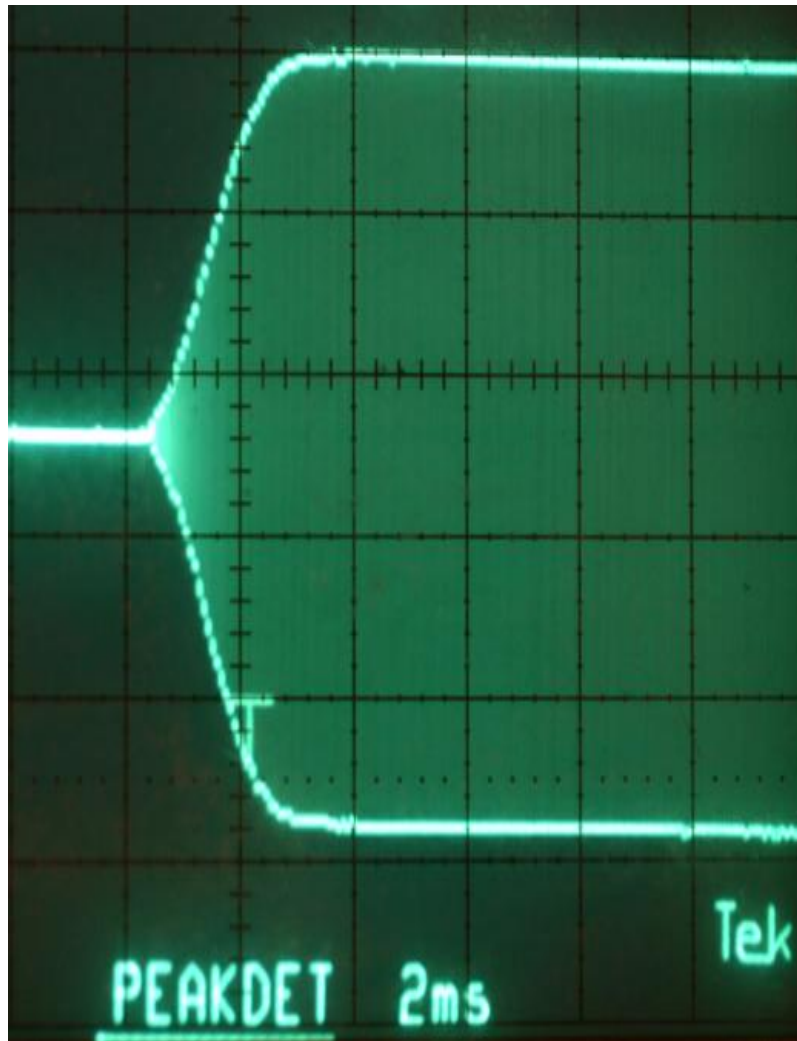


# Spectrum of CW Signal on HP 3585A Analyzer

Comparison of 3 msec vs 10 msec rise time



# Leading edge of "dit" 3 & 10 msec



## Just the Facts

On SSB you want DR3 = 70 to 75 dB, or more.

On CW you want DR3 = 80 to 85 dB, or more.

This is most economically accomplished with low IF (5 to 9 MHz) selectable crystal **roofing** filters.

It is much more difficult to deliver 80 dB or higher DR3 with the more common Up-Conversion design.

Hilberling does it for \$18,000 !

Transmitted bandwidth of the interfering signal is **often** the limit, not the receiver.

## What dynamic range can we choose from for CW?

**80 dB or better @ 2 kHz with a 500 Hz bandwidth.**

|                               |               |
|-------------------------------|---------------|
| <b>2001 Ten-Tec Omni-VI+:</b> | <b>80 dB</b>  |
| <b>2003 Icom IC-7800:</b>     | <b>80 dB</b>  |
| <b>2003 Ten-Tec Orion I:</b>  | <b>93 dB</b>  |
| <b>2005 Ten-Tec Orion II:</b> | <b>95 dB</b>  |
| <b>2007 Flex 5000A:</b>       | <b>96 dB</b>  |
| <b>2007 Ten-Tec Omni-VII:</b> | <b>80 dB</b>  |
| <b>2008 Elecraft K3:</b>      | <b>95 dB</b>  |
| <b>2010 Kenwood TS-590S:</b>  | <b>88 dB</b>  |
| <b>2010 Ten-Tec Eagle:</b>    | <b>90 dB</b>  |
| <b>2010 FTdx-5000:</b>        | <b>101 dB</b> |
| <b>2013 Argonaut VI</b>       | <b>92 dB</b>  |
| <b>2013 PT-8000A</b>          | <b>105 dB</b> |

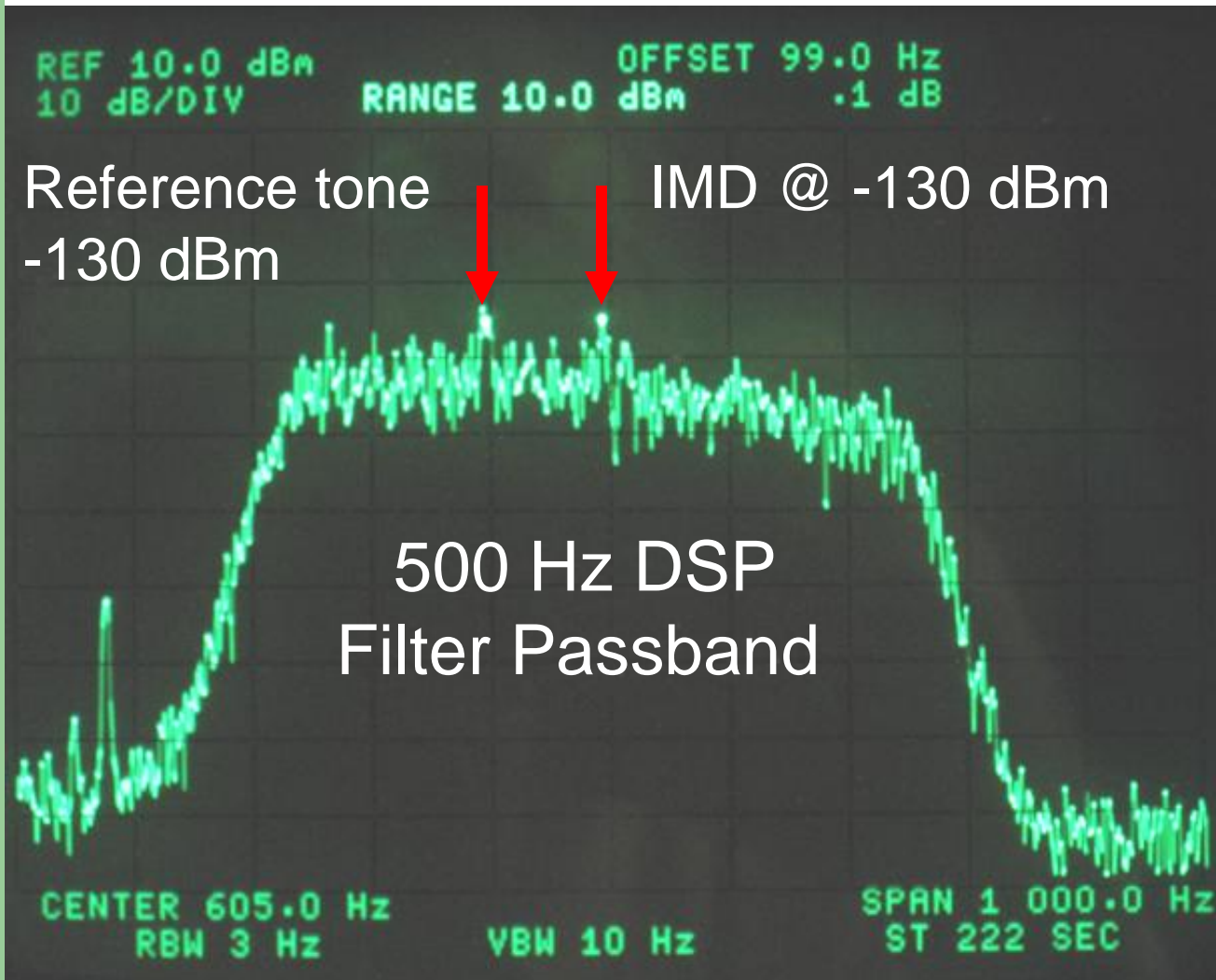
## Other radios for comparison, 2 kHz dynamic range data

|                       |       |   |
|-----------------------|-------|---|
| Elecraft K2:          | 80 dB |   |
| Collins R-390A:       | 79 dB |   |
| Kenwood TS-850S:      | 77 dB |   |
| Icom Pro II / Pro III | 75 dB |   |
| Collins 75S-3B/C:     | 72 dB |   |
| Icom IC-7100          | 69 dB |   |
| Kenwood TS-870S:      | 69 dB |   |
| Yaesu FT-2000:        | 63 dB | <b>This is shockingly bad</b>           |
| Icom IC-7000:         | 63 dB |   |
| Yaesu FT-One:         | 63 dB |   |
| Yaesu FT-101E:        | 59 dB |   |
| Drake R-4C Stock:     | 58 dB |   |
| Yaesu FT-757:         | 56 dB |   |
| Yaesu VR-5000:        | 49 dB | <b>Worst radio I have ever tested !</b> |

# ARRL Dynamic Range Numbers

- Many modern transceivers are phase noise limited, particularly close-in at 2 kHz. The League wanted to be able subtract out the phase noise when measuring IMD, and came up with a new method in 2007 using a spectrum analyzer with a 3-Hz filter.
- **Useful to the design engineer only.**
- Now they use an FFT analyzer and a 1-Hz filter with averaging to suppress the noise, and make the measurement more quickly.

# IC-7600 with 3-Hz Spectrum Analyzer



Phase noise limited dynamic range is **78 dB** at 2 kHz.

Measured with a 3-Hz filter on the analyzer, the dynamic range is **87 dB** at 2 kHz!



# ARRL 2007 – 2011 DR3 Method

- 2006 and earlier, IMD or noise increased 3 dB. This was published as the dynamic range, either IMD or noise limited.
- With the 2007 - 2011 method, the IMD product was usually buried in phase noise.
- 3-Hz or 1-Hz filter used for the third-order dynamic range measurement resulted in values greater than in 2006 and before.
- Non synthesized rigs (S-Line / C-Line) would not have any reciprocal-mixing issues.



# IC-7410 Dynamic Range Data

## Example

- | ● Spacing                                     | Value                      |
|---|----------------------------|
| ● 100 kHz                                     | 107 dB some noise          |
| ● 20 kHz                                      | 102 dB noise limited       |
| ● 5 kHz                                       | 90 dB noise limited        |
| ● 2 kHz                                       | 78 dB noise limited        |
| ● 2 kHz ARRL*                                 | <b>89 dB noise ignored</b> |
| ● * (Using spectrum analyzer and 1 Hz filter) |                            |

# The ARRL / Sherwood Compromise

- In September 2011 the League agreed to add emphasis to their reciprocal-mixing data. The first Product review with the testing change was April 2012.
- The League's reciprocal-mixing (RM) values should equal their pre-2007 noise-limited data, and my published noise-limited or IMD limited data.
- IC-7410 RM limited dynamic range = 78 dB
- Sherwood noise-limited DR3 = 78 dB
- The April 2012 IC-9100 review used the new reporting, and has a nice sidebar on page 55 explaining the changes.

## Bob clearly explains importance RMDR

Note how reciprocal mixing relates to the two-tone third order DR figures, especially at 5 and 2 kHz spacing. A single, strong adjacent signal 5 or 2 kHz from the desired signal with resulting reciprocal mixing has a greater impact on your ability to hear a desired weak signal than do two strong signals 5 and 10 kHz away (5 kHz spacing) or 2 and 4 kHz away (2 kHz spacing) with a resulting intermodulation distortion (IMD) product that covers up the desired signal. **In many cases, reciprocal mixing dynamic range is the primary limiting factor of a receiver's performance.**

-Bob Allison, WB1GCM, ARRL Laboratory Engineer

## Is ARRL 1-Hz filter method useful ?

- FTdx-3000 QST Review April 2013
- Third-Order Dynamic Range with 1 Hz testing method = 100 dB @ 2 kHz
- RMDR 82 dB @ 2 kHz
- The 100 dB number meaningless on the air.

# AGC Impulse Noise Anomaly

Most new radios since 2003 exaggerate impulse noise.

The exceptions: Elecraft K3, Flex 5000 & now Ten-Tec

Programmed DSP to ignore a tick, click or pop.

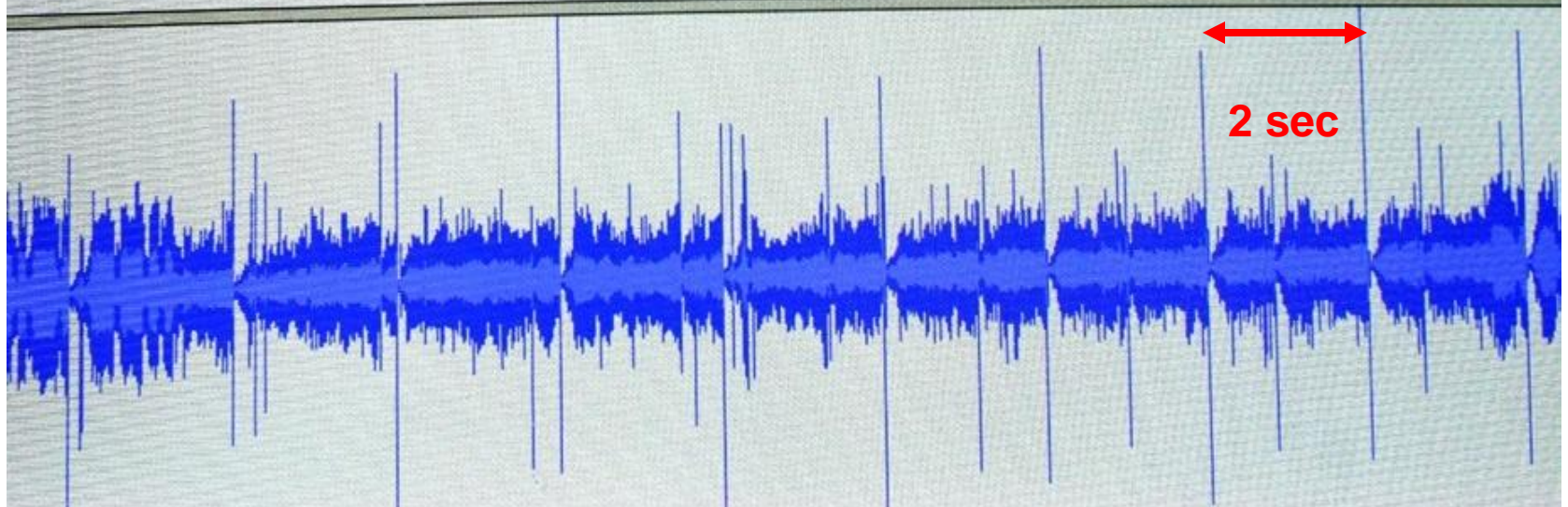
Elecraft calls it the Sherwood Test.

# Omni-7 on Top - Pro III on Bottom

CW signal about 15 WPM



Electric Fence firing off every 2 seconds, 160 meters



# Listen to 30 second audio clip



- Audio Icom 756 Pro III
- 160 meters, 4 PM, Dec 13, 2008
- Electric fence & CW signals
- KV4FZ calling DX station
- Note volume level relatively constant

# Audio clip with DSP AGC problem

- Audio Ten-Tec Omni-VII
- 160 meters, 4 PM, Dec 13, 2008
- Electric Fence & CW signals
- Exact same signals as with Pro III
- **Note AGC being hammered by impulses**
- **No problem Elecraft, Flex and now Ten-Tec**
- Other rigs with the same AGC problem:
- IC-7800, IC-7700, IC-7600 & IC-7000
- FTdx-9000, FTdx-5000, FT-950, TS-990S

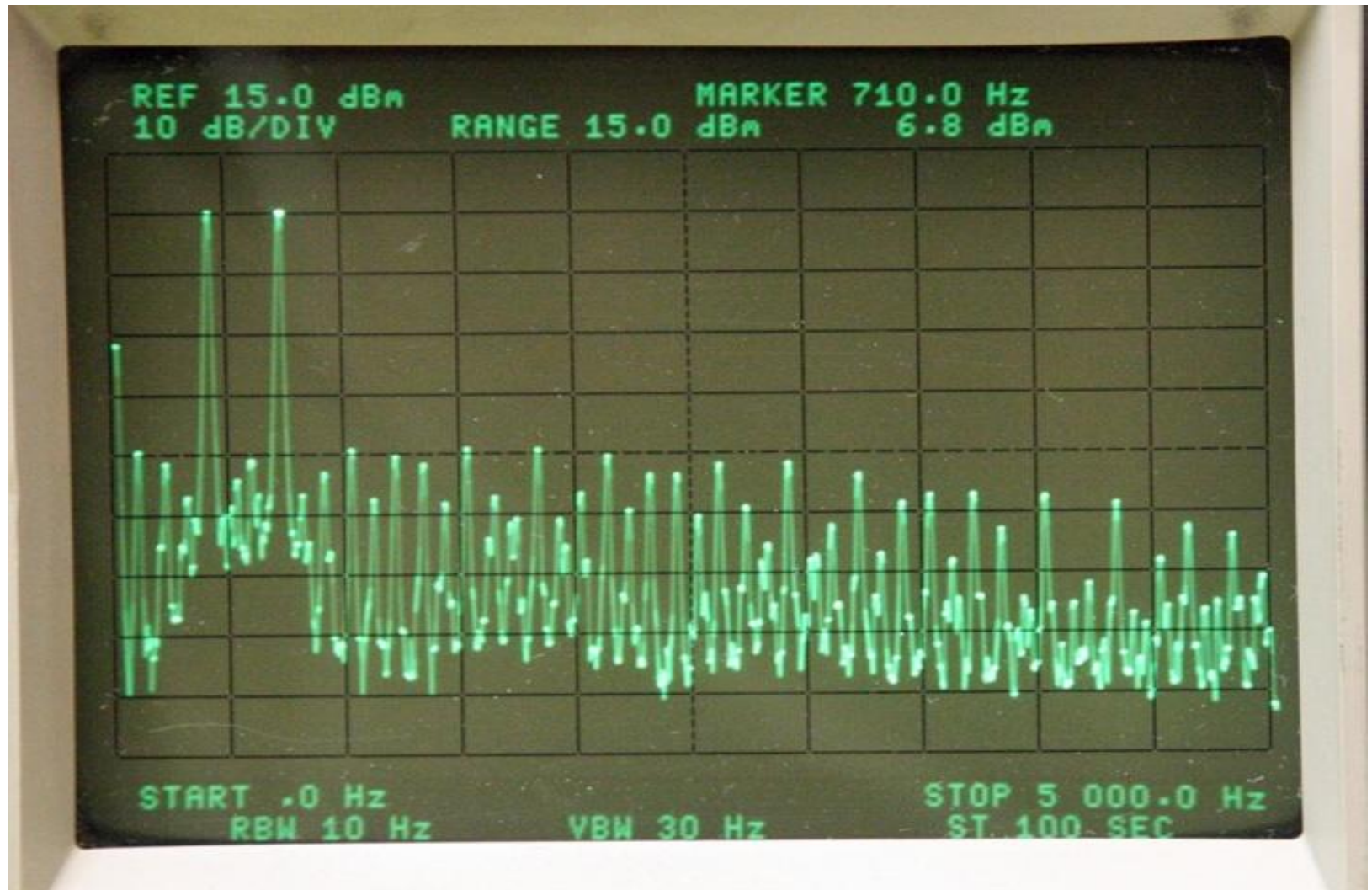


# Contest Fatigue from audio artifacts

- In the “good old days”, a pair of 6V6s in push pull were common. Audio was smooth and pleasant.
- Often today receive audio is an after thought.
- The rig manufacturers need to be concerned about the noise and distortion beyond the 300 to 3000 Hz bandwidth. Our ears hear much more than 2700 Hz of bandwidth.

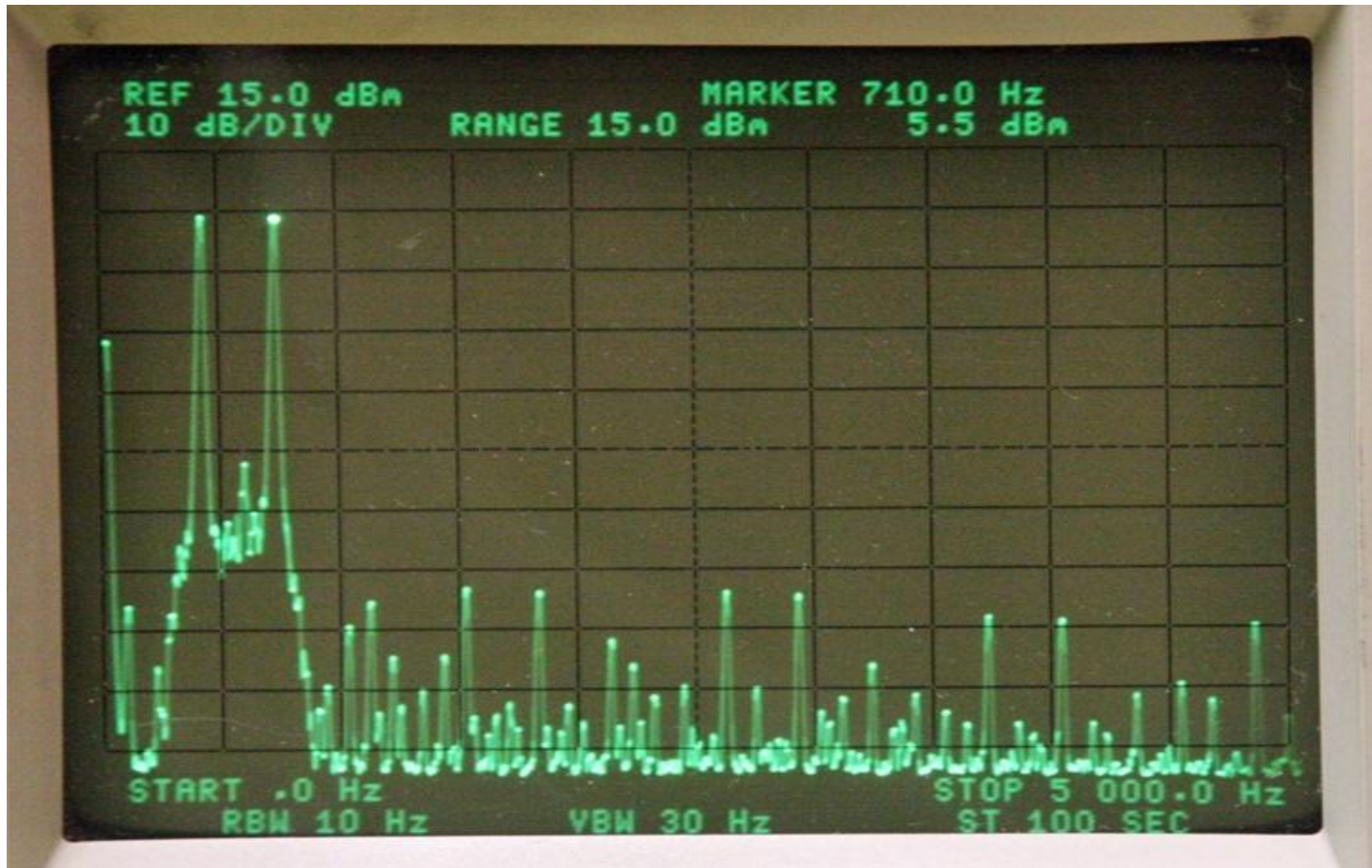
# Factory Confirms K3 Audio Problem

## Screen shot from Elecraft Lab Fall 2008



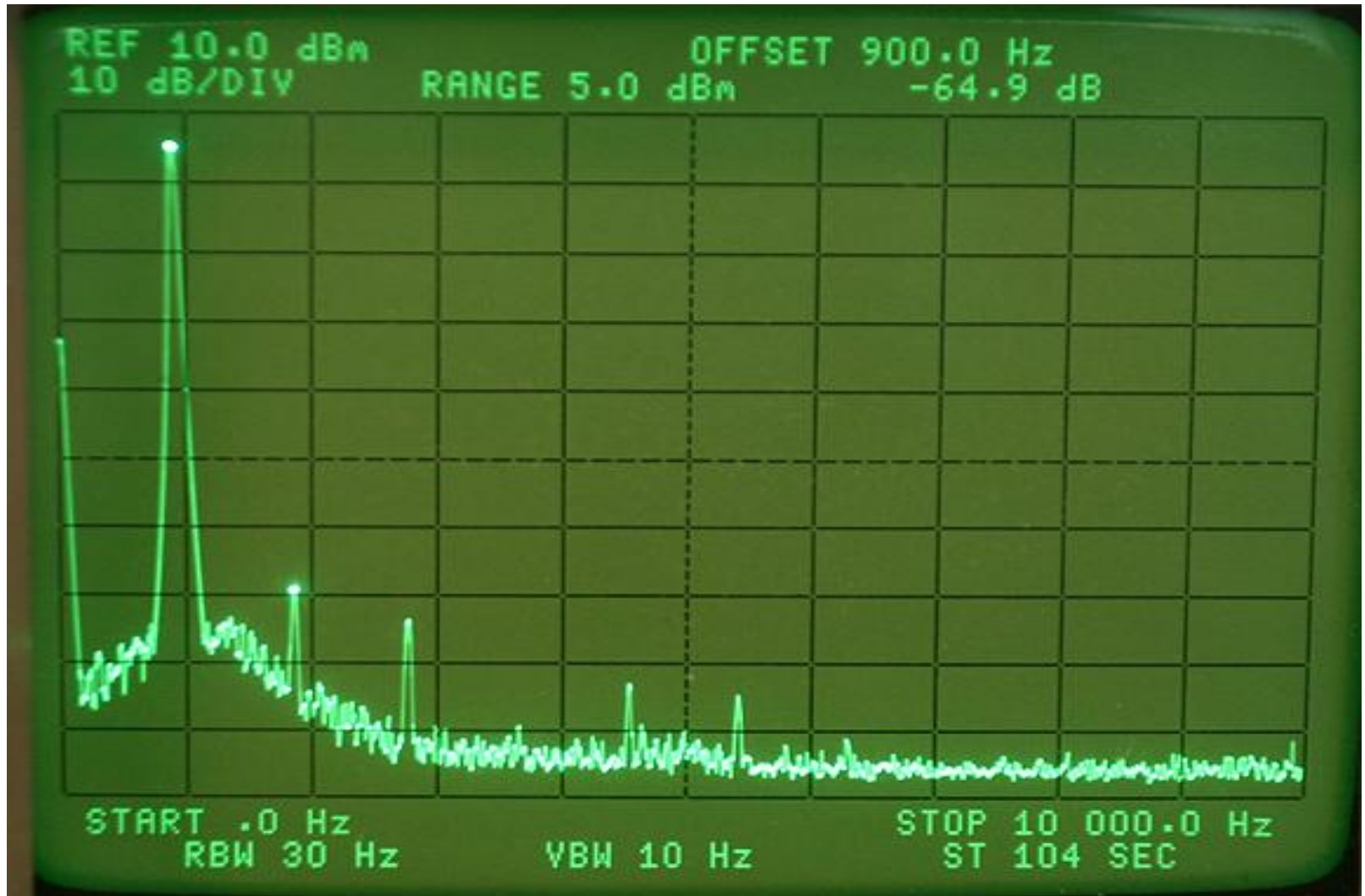
# Factory Addresses K3 Audio Problem

## K3 After New Choke Installed



0.1 % distortion

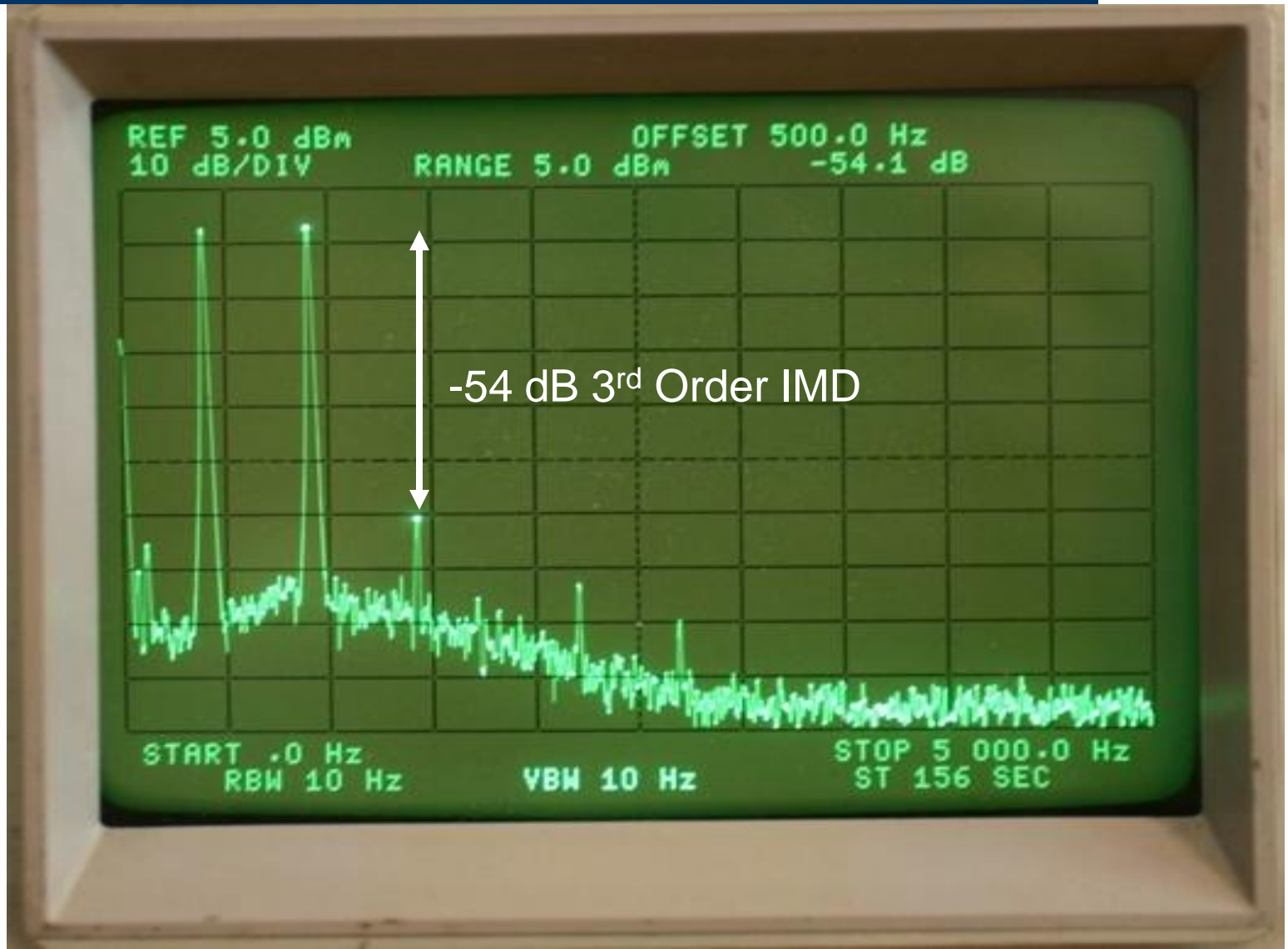
# Icom 756 Pro III Harmonic Distortion





< 0.3 % distortion

# Icom 756 Pro III in-band IMD Distortion



# Question: How good is good enough?

High Dynamic Range Receiver (DR3).

Minimum 70 dB for SSB & 80 dB for CW

If the “real” DR3 > 90 dB, your receiver is fine.

Differences of a few dB are NOT significant.

Areas needing improvement:

Transmit ALC, Transmit IMD & Receive AGC

In general, how a transceiver performs dynamically with real signals, not just in the lab with a signal generator.



<http://www.sherwood-engineering.com>

<http://www.NC0B.com>